

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Diep, et al

Serial No:

10/659523

Filed:

September 9, 2003

Examiner: TBA

Group Art Unit:

3663

For:

Devices and Method for Raman

Amplification and Dispersion Compensation

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Svetlana Z. Short

Registration No. 34,432 Corning Incorporated

SP-TI-03-1

Corning, NY 14831

607-974-0412

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Alexandria, 22313-1450

Date of Deposit

Svetlana Z. Short

Name of applicant, assignee, or Registered Representative

Date of Signature

Revision: September 1, 2002

F:\Applications\2002\SP02-219\IDSCover D 3-17-04.dot

| P FRORM PTO-1449 (MODIFIED) | | | | ATTORNEY DOCKET NO. | | SERIAL NO. | | |
|--|--------|-----------------|-------------|---------------------------------|-----------|------------------|-----------------------|------------------|
| 0 6 2004 FIST OF PATENTS AND | | | | SP02-219 | | 10/659523 | | |
| PUBLICATIONS | | | | | | | - | |
| FOR APPLICANTS INFORMATION RADPH DISCLOSURE STATEMENT | | | | APPLICANT: Diep, Phong, et al. | | | | |
| | | | | FILING DATE 9/9/03 | | GROUP: TBA | | |
| | | | | | | <u> </u> | | |
| REFERE | ENCE D | ESIGNATION | | U.S. PATENT DOCUMEN | TS | | | |
| Examiner Initial | | Document Number | Date | Name | Clas | ss Sub- Class | | g Date oprop. |
| | AA | 2002/0131132 | 9/19/02 | Tanaka et al | | | | |
| | AB | | | | | | | |
| | AC | | | | | | | |
| | AD | | | | | | | |
| | AE | | | | | | | |
| | AF | | | | | | | |
| | AG | | | | | | | |
| | AH | | | | | | | |
| | AI | | | | | | ļ | |
| | AJ | | | | | | | |
| | AK | | | | | | | |
| | | F | OREIGN | PATENT DOCUMENTS | | | | |
| ****** | | Document Number | Date | Country | Class | Sub- Class | Translation Yes No | |
| | AL | WO02075934 | 9/26/02 | 2 PCT | | | | |
| | AM | EP1170 604 A | 1/9/02 | Europe | | | | |
| | AN | WO02/058198 A | 72/5/02 | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |
| | AQ | | | | | | | |
| | | OTHER ART (| Including | Author, Title, Date, Pertine | nt Pages | etc.) | | |
| | AR | OTTER ART (| merading | Tutiloi, Title, Date, Tertille. | in rages, | 0.0.) | | |
| | AS | _ | | | | | | · |
| | AT | | | | - | | | |
| - | AU | | | | | | | |
| | AV. | | | | | | | |
| | AW | | | | | | | |
| EXAMIN | ER: | | | DAT | E CONS | IDERED: | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.